

Appendix I

Programme to Foster Research

Research is one of the main instruments encouraged by the **abertis** foundation since it was set up. For this reason, the **abertis** foundation publishes a call for proposals to foster research in projects which set out to work in one of more of the lines of research supported by the foundation, and in this way work towards more sustainable systems and environmental improvement.

Research proposals submitted must be practical in their approach and provide consideration and solutions in relation to the repercussions of major infrastructures on the regions.

Such research projects must be carried out by researchers or research groups with excellent scientific qualifications and proven research experience, and who are members of a university or academic institution.



Aims of the Programme

The assistance granted must aim at starting up, expanding and consolidating studies with the goal of describing the effects of large-scale infrastructure in four subject areas: the environment, the economy, population and road safety.

System of Assistance

Assistance will be granted under a system of competitive applications.

General Requirements

1. General requirements to be met by applicant organisations

Organisations must meet the following requirements in order to be eligible for this research assistance:

- They must be legally constituted and entered in the pertinent register.
- Their institutional objectives must include carrying out research work.
- They must be associated with a university or be an academic institution.
- They must have demonstrable experience in carrying out research and study projects.
- They must have the staff necessary to carry out the study, and provide evidence of these researchers' academic knowledge and experience.

2. General requirements to be met by applications for studies submitted

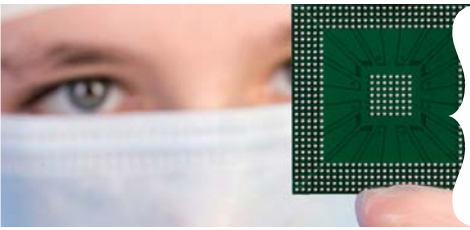
To be eligible for research assistance, applications must comply with the following:

- The studies must deal with the repercussions of large-scale infrastructures on the regions, paying special attention to the environment, the economy, population and road safety, as well as other social topics.
- The timescale for carrying out the study must be no more than 2 years.

Documentation to be Submitted

The following documentation must be submitted before the period fixed by the **abertis foundation** expires. The following must be submitted together with the application for research assistance:

- A duly completed application form.
- A brief introduction to the project submitted (maximum 4 pages of A4). This must include: objectives, methodological framework and description of the study.
- Management report from the applicant organisation, or failing this a description of how it is managed.
- Curriculum vitae of all the researchers who are to work on the project.
- Timescale for the progress of the study.
- Itemised summary of the cost of the study.



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All applications submitted must be on recycled paper or, failing this, paper with the FSC mark or totally chlorine-free (TCF). Moreover, the documentation must be printed on both sides of each sheet.

Application Selection Process

The **abertis foundation's** Scientific Committee will take charge of granting the assistance to the successful applicants.

First of all, a Review Group will examine all the applications submitted to make sure they meet the above-mentioned requirements. A report will be issued on this preliminary assessment. Among other information, this report will state which applications are to be excluded because they do not meet the requirements in these rules. The report will be sent to the Management of the **abertis foundation**.

The **abertis foundation's** Scientific Committee will consider the applications accepted and draw up a proposed decision, which it will pass on to Management, where a list will be prepared showing the applications with the highest rating according to the scale laid down in these rules.

Information Concerning the Submission of Applications

The period for submission of applications will be from 30th October to 15th December of the current year, inclusive.

All the required information must be submitted to the **abertis** foundation within the period mentioned above. It must be delivered to the following address:

ABERTIS FOUNDATION
ref.: PROGRAMA DE FOMENTO A LA INVESTIGACIÓN
Avinguda Parc Logístic 12-20
08040 Barcelona

If the organisation sends the application by post, it must be at the offices of the **abertis foundation** on 15th December. All applications not received by this date will be excluded.

Consideration Period and Notification

The **abertis foundation** will announce the list of applicants who are to receive assistance, along with an explicit list of the other applications which were rejected, within three months, by publishing them on the **abertis foundation's** web page.

Application Assessment Criteria

The Assessment Committee will consider the applications which meet all the requirements listed above, according to the following scale:

Fit of the project with the purpose of the call for applications	40%
Degree of knowledge and experience:	
Researchers' previous experience on similar projects	15%
Organisation's specialisation and experience in project management	15%
Level of general exposure of the project before public opinion	10%
Possession of environmental certification (EMAS or ISO14001, and submission of documentary evidence of this, or else attaching a report showing the environmental programmes implemented)	5%
Possession of an ethical, socially responsible management system and submission of documentary evidence of this, or else attaching a report showing the social initiatives implemented	5%
Innovation displayed by the project	10%

Monitoring of Projects Selected

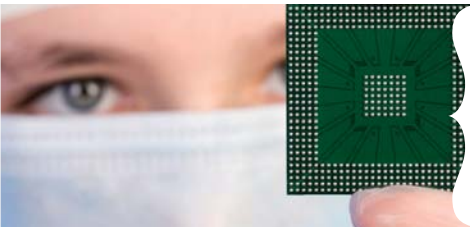
When a progress report on the study is delivered to the **abertis foundation**, in accordance with the timescale for progress submitted in the application for the programme, 50% of the total amount of the assistance granted will be paid out.

Upon completion of the project, the organisations responsible for carrying out the studies must hand over the following information to the **abertis foundation**:

- A final summary (on paper and in digital format) of the results of the project
- A brief summary (maximum 5 or 6 pages) of the study
- A more extensive summary for possible scientific publication (maximum 20 pages)
- Curriculum vitae of the research team involved in conducting the study and authorisation by all its members to pass on their personal details.
- Short presentation in PowerPoint format (maximum 5 slides)

All printed documents must be on recycled paper or, failing this, paper with the FSC mark or totally chlorine-free (TCF). Moreover, the documentation must be printed on both sides of each sheet.

Furthermore, the **abertis foundation** will appoint an expert to take charge of monitoring the study together with a person appointed by the beneficiary organisation throughout the process of producing it. This monitoring will be through regular meetings and communication, as well as any documentation and reports which may be requested.



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Timescale for Implementation and Justification for Financial Assistance

The period for execution of the project or study being subsidised will be **up to two years**, though in exceptional cases where the nature of the project makes it necessary, a **maximum period of two and a half years** may be considered. In the latter case the organisation will have to justify this need.

Where it is felt to be necessary, applicants may request for an advance on the assistance. This may be up to a maximum of 70% of the total amount.

Subcontracting Activities on Beneficiary Projects

Subcontracting is defined as a situation where the beneficiary of the assistance arranges for part of the activity to be carried out by a third party.

Beneficiaries of assistance may subcontract part of the study only. The part subcontracted may not exceed 50% of the cost of the activity.



Duties of the Beneficiary

The beneficiary of the assistance must:

- A. Submit originals or authenticated copies of the documentation attached to their application.
- B. Meet the objectives and carry out the project for which assistance is given, and submit evidence that the study was in fact carried out to the **abertis foundation**.
- C. Inform the **abertis foundation** of any other assistance, subsidies, income or resources obtained for the same purpose, whether these come from the authorities or from public or private bodies in Spain or elsewhere. This notification must be made as soon as the beneficiary becomes aware of it.
- D. Mention the support and cooperation of the **abertis foundation** in all verbal or written information released in connection with the activity, before, during and after it. To this effect, the following message must appear in all the information mentioned above: *"Sponsored by the **abertis foundation**. Programme to foster research"*.
- E. Include the **abertis foundation** corporate image in its proper form in the following places:
 - On the website of the organisation benefiting from the assistance, which must also contain a link to the **abertis foundation** website.
 - In all documentation created during the course of the study.
 - The **abertis foundation** logo must appear in any material in whatever format connected with the study. In addition, the organisation must send all documentation in which the **abertis foundation** logo appears for approval before publication.
 - All presentations, celebrations and events in which the beneficiary organisation takes part must feature the **abertis foundation** logo, not only in any documentation which may be handed over, but also in any other material used in the course of and to publicise the activity (invitations, letters to speakers, transparencies, promotional material, etc.)
- F. The way to exploit whatever intellectual property rights may apply will be assessed for each project.